



Frederick P. Fish
1855-1930

W.K. Richardson
1859-1951

FISH & RICHARDSON P.C.

01-12-01

A

January 11, 2001

225 Franklin Street
Boston, Massachusetts
02110-2804

Telephone
617 542-5078

Facsimile
617 542-8905

Web Site
www.fr.com



09/758967
01/11/01

Attorney Docket No.: 09857-054001

Box Patent Application
Commissioner for Patents
Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant: Steven M. Bloom, Michael Spector and John Jacobson

Title: ARBITRAGE OF TRACKING SECURITIES

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	13
Claims	5
Abstract	1
Declaration	[To be Filed at a Later Date]
Drawing(s)	3

Enclosures:

- Postcard
- Information Disclosure Statement, PTO 1449 Form, 1 page, 3 refs.

Basic filing fee	\$710
Total claims in excess of 20 times \$18	\$54
Independent claims in excess of 3 times \$80	\$160
Fee for multiple dependent claims	\$0
Total filing fee:	\$924

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No EL224699049

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

January 11, 2001

Signature

Typed or Printed Name of Person Signing Certificate

Samantha Bell

FISH & RICHARDSON P.C.

Commissioner for Patents
January 11, 2001
Page 2

Under 37 CFR §1.53(f), no filing fee is being paid at this time.

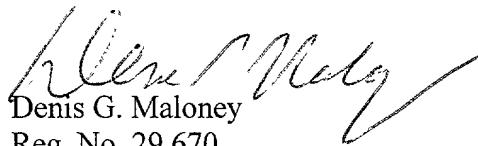
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

DENIS G. MALONEY
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

Respectfully submitted,



Denis G. Maloney
Reg. No. 29,670
Enclosures
DGM/mgc
20193204 doc